



IN THE U.S. PATENT AND TRADEMARK OFFICE

Sector #3
PATENTS

In re Application of: CHANG ET AL.

Docket No. 11302-1040 (44040-251242)

Serial No. 09/815,251

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC POLYMERS, A METHOD OF
MAKING SAME AND ITEMS USING SAMERESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTEDAttention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Formalities Letter - Notice to File Missing Parts of Application - Filing Date Granted.
☒ Declarations and Power of Attorney (3 pages).
☐ Petition for Extension of Time Under 37 C.F.R. § 1.136.
☒ The additional fee is calculated as shown below.
☐ Applicant claims small entity status.

FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$355		\$710	710
TOTAL CLAIMS	21 - 20 =	1	x9 =		x18 =	18
INDEP. CLAIMS	16 - 3 =	13	x40 =		x80 =	1,040
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =		+130 =	130
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER					+435 =	
			TOTAL		TOTAL	\$1,898

- ☒ A check in the amount of \$1,898 is attached.
☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR § 1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231, on July 16, 2001.

Robert E. Richards

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,251	03/22/2001	Yihua Chang	11302-1040 (44040-251242)

CONFIRMATION NO. 6502

FORMALITIES LETTER



Robert E. Richards, Esq.
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3424 Peachtree Road, N.E.
Atlanta, GA 30326

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/20/2001 MABDI1 00000007 09815251

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:102	1040.00 OP
03 FC:103	18.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1058.
 - \$18 for 1 total claims over 20.
 - \$1040 for 13 independent claims over 3 .
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1898.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE